

042033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takayuki WATANABE et al.

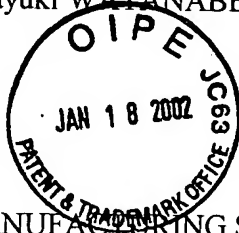
Serial No.: 10/024,391

Group Art Unit: Unassigned

Filed: December 21, 2001

Examiner: Unassigned

For: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE AND METHOD OF  
MANUFACTURING OPTICAL WAVEGUIDE



**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

January 18, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

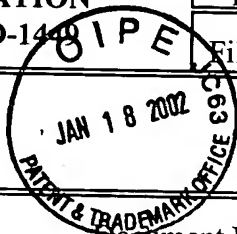


William L. Brooks  
Attorney for Applicants  
Reg. No. 34,129

Atty. Docket No. 011732  
1725 K Street, N.W., Suite 1000  
Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
WLB:mla

Enclosures: PTO-1449 and references

<b>INFORMATION DISCLOSURE CITATION</b> PTO-1440	Atty. Docket No. 011732	Serial No. 10/024,391
	Applicant(s): Takayuki WATANABE et al.	
	Filing Date: December 21, 2001	Group Art Unit: Unassigned



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 10-65201	03/06/1998	Japan	Abstract
_____ AG 2000-91303	03/31/2000	Japan	Abstract
_____ AH			
_____ AI			
_____ AJ			

### OTHER DOCUMENTS

_____	AK	
_____	AL	

Examiner	Date Considered
----------	-----------------